

# ERISA Fidelity Bond Information Form

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Please complete this form in its entirety and return to the following address along with a copy of the Declaration Page:

Palmer Pension Services, Inc.  
4343 Shallowford Road  
Suite D-2  
Marietta, GA 30062

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Name of Employer: \_\_\_\_\_

Name of Plan: \_\_\_\_\_

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Section 412(a) of the Employee Retirement Income Security Act of 1974 requires that every fiduciary of an employee benefit plan and every person who handles funds or other property for such a plan shall be bonded. Generally, the amount of the bond shall be no less than 10% of the Plan assets up to a maximum of \$500,000.

However, the Department of Labor requires that any plan, which holds more than 5% of its total plan assets in "non-qualifying" plan assets, must obtain an Independent Qualified Public Account (IQPA) report. An alternative to the IQPA is to increase the amount of the plan's bond coverage to the greater of 10% of total plan assets or the amount of the "non-qualifying" plan asset.

## **Bonding Information:**

Name of Surety Company: \_\_\_\_\_

Amount of Bond: \_\_\_\_\_ Policy # of Bond: \_\_\_\_\_

Date of Bond: \_\_\_\_\_ Renewal Period: \_\_\_\_\_

## **Name/Address/Telephone Number of agent from whom you purchased the bond:**

Agent Name: \_\_\_\_\_ Telephone #: \_\_\_\_\_

Address: \_\_\_\_\_

Date Form Completed: \_\_\_\_\_